

FFY 2007 - 2008
"Close Out"
Local Assistance Delivery
Formula OA Funds
Based on CBARS/FMIS Transactions as of September 30, 2008

Region	Actual Base FFY 2008 OA ¹	Adjustment to FFY 2008 OA for FFY 2007 Over - Under Delivery ²	OA Payback from State for FFY 2008 (\$50,000,000 Payback) ³	Contribution for NEPA FY 2007/08	NEPA Funding Offset	Adjusted FFY 2008 Actual OA ⁴	FFY 2008 Obligations as of 9/30/08	Percent Target Delivered	Balance of FFY 2008 Actual OA	FFY 2008 August Redistribution (\$42,350,079) ⁵	Balance Due of FFY 2008 OA as of 9/30/2008 (Numbers in Parenthesis are \$ owed) ⁶
Butte	4,329,118	531,272	195,305			5,055,695	2,316,583	45.8%	2,739,111	-	2,739,111
Fresno	18,739,325	(7,200,328)	860,358	(18,376)		12,380,978	14,818,828	119.7%	(2,437,850)	550,861	(1,886,989)
Kern	14,413,029	303,936	655,576	(15,266)		15,357,275	20,854,480	135.8%	(5,497,204)	1,242,159	(4,255,046)
Kings	2,825,254	(308,298)	127,512			2,644,469	1,816,170	68.7%	828,298	-	828,298
Los Angeles	226,550,255	6,334,794	10,490,223	(227,743)	1,000,000	244,147,529	262,834,510	107.7%	(18,686,981)	4,222,545	(14,464,436)
Madera	2,747,317	(872,956)	122,729			1,997,090	1,605,058	80.4%	392,032	-	392,032
Merced	4,676,197	729,598	209,684			5,615,479	4,934,383	87.9%	681,096	-	681,096
Monterey	5,088,665	(3,444,993)	225,196			1,868,868	5,067,625	271.2%	(3,198,757)	722,797	(2,475,959)
Orange	67,930,237	(19,680,308)	3,146,203	(68,238)		51,327,893	31,553,029	61.5%	19,774,865	-	19,774,865
Riverside ^{7,12}	40,960,467	9,245,173	1,852,554	(39,081)		45,762,146 ¹²	47,988,354	104.9%	(2,226,208)	503,038	(1,723,170)
Sacramento (SACOG) ¹²	42,414,182	(21,871,805)	1,946,931	(41,308)		28,704,967 ¹²	51,214,296	178.4%	(22,509,329)	5,086,250	(17,423,079)
San Benito	676,261	201,990	29,929			908,180	773,959	85.2%	134,221	-	134,221
San Bernardino ⁷	43,007,151	(5,756,769)	1,962,546	(41,847)		39,171,081	20,468,374	52.3%	18,702,707	-	18,702,707
San Diego	58,454,148	(31,172,677)	2,704,348	(58,763)		29,927,056	54,930,849	183.5%	(25,003,792)	5,649,904	(19,353,888)
S.F. Bay Area (MTC)	139,714,514	(16,598,879)	6,458,858	(139,797)		129,434,696	189,998,205	146.8%	(60,563,509)	13,685,044	(46,878,465)
San Joaquin	13,609,296	(7,057,489)	627,143	(13,292)		7,165,658	12,288,337	171.5%	(5,122,679)	1,157,530	(3,965,149)
San Luis Obispo	2,756,006	(295,707)	121,607			2,581,906	2,756,006	106.7%	(174,100)	39,340	(134,760)
Santa Barbara	5,045,303	(245,560)	223,265			5,023,007	5,072,566	101.0%	(49,559)	11,199	(38,361)
Santa Cruz	3,219,919	(270,850)	142,479			3,091,547	3,174,675	102.7%	(83,128)	18,784	(64,344)
Stanislaus	10,743,711	1,184,026	488,370	(10,465)		12,405,641	14,651,789	118.1%	(2,246,148)	507,544	(1,738,604)
Tahoe	973,549	1,685,609	48,870			2,708,028	943,200	34.8%	1,764,828	-	1,764,828
Tulare	8,061,889	(2,923,903)	360,803			5,498,789	10,377,477	188.7%	(4,878,687)	1,102,397	(3,776,290)
Ventura	15,109,342	(8,898,804)	699,409	(14,974)		6,894,972	8,010,079	116.2%	(1,115,107)	251,972	(863,135)
Amador ^{8,13}	700,374	96,667	31,390			1,695,350 ¹³	1,498,667	88.4%	196,683	-	196,683
Calaveras ^{8,13}	828,606	677,995	37,095			1,179,948 ¹³	843,083	71.5%	336,865	-	336,865
Imperial ⁸	2,778,124	(790,391)	126,937	(1,923)		2,112,747	2,357,346	111.6%	(244,599)	55,270	(189,329)
Mariposa ⁸	336,148	263,492	15,147			614,787	191,382	31.1%	423,405	-	423,405
Nevada ⁸	1,816,543	(131,087)	81,729			1,767,185	1,033,225	58.5%	733,960	-	733,960
Tuolumne ^{8,13}	1,084,648	850,089	48,075			1,479,642 ¹³	817,904	55.3%	661,738	-	661,738
Rural Counties ^{8,9}	8,702,625	1,182,174	475,780	-	-	10,360,578	10,360,578	100.0%	-	-	-
TOTAL LOCAL AGENCIES	748,292,203	(104,233,991)	34,516,050	(691,073)	1,000,000	678,883,190	785,551,019	115.7%	(106,667,829)	34,806,634	(71,861,195)
HBP	244,054,328	13,982,080	11,328,510	(308,927)		269,055,991	302,439,698	112.4%	(33,383,707)	7,543,445	(25,840,262)
Safety	62,635,192	44,828,904	2,864,370			110,328,466	46,126,028	41.8%	64,202,438	-	64,202,438
SRTS	16,352,234	23,997,195	759,642			41,109,070	11,565,998	28.1%	29,543,072	-	29,543,072
Rail ¹⁰	11,628,074	22,325,618	531,428			34,485,119¹⁰	34,485,119	transferred			
TOTAL	1,082,962,031¹	899,806¹¹	50,000,000	(1,000,000)	1,000,000	1,133,861,837	1,180,167,862	104.1%	(46,306,025)	42,350,079	(3,955,946)
FFY 2007 Formula OA Obligations											
YTD (09/30/07) (Total) <i>Prior year data here for informational purposes</i>						1,023,429,367	1,067,757,147	104.3%	(44,327,781)	45,227,586	899,806

In addition to this Formula federal-aid OA, Local Assistance Delivered another \$55,000,000 of Special Bridge OA that is above and beyond Local's Formula OA.

Beginning with April 2008 report, RSTP Exchange [\$45,317,078] and SR2S/Safety Exchange [\$25,000,000] included in Obligations.

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Assumptions:

- < Obligations are based on CBARS/FMIS transactions and adjustments per the Department's Federal Resources Office (FRO).
- < Obligations include obligations, deobligations, exchanges, FTAs.

Footnotes:

- ¹ The FFY 2008 Actual Base OA is provided with this **September 30, 2008** Delivery Report based on final FFY 2008 information received from FRO on October 23, 2008.
- ² Balance of FFY 2007 OA -- This is the amount a Region must pay back if over delivered or the amount a Region will receive to "make whole" if under delivered based on the DLA OA Management Policy. Amounts shown in parenthesis are amounts owed back and positive (non-parenthesis numbers) amounts are amounts owed to those Regions/Programs. When DLA, as a whole, under delivers the OA in an FFY and this under delivered OA is "lost" to the State because of DLA under delivery -- this "lost" OA may not be repaid to DLA.
- ³ The \$50,000,000 payback from State to DLA based on FFY 2008 Estimated apportionments. State to repay DLA \$200,000,000 over four years [\$50 M each for FFY '05, '06, '07, and '08].
- ⁴ This is FFY 2008 Actual OA with the adjustments for FFY 2007 OA Over or Under Delivery; \$50,000,000 OA payback for FFY 2008; and National Environmental Policy Act (NEPA) delegation for FFY 2008.
- ⁵ August Redistribution -- Local Assistance FFY 2008 August Redistribution amount equals \$42,350,079.
- ⁶ Balance of FFY 2008 Actual OA -- At the end of FFY 2008 (September 30, 2008 report) this is the amount a Region must pay back if over delivered or the amount a Region will receive to "make whole" if under delivered, in the next FFY, based on the DLA OA Management Policy. Amounts shown in parenthesis are amounts owed back and positive (non-parenthesis numbers) amounts are amounts owed to those Regions/Programs. If OA is lost to the State due to DLA under delivery, as a whole, then under delivered OA may not be available to repay Regions and/or Programs.
- ⁷ Per August 1, 2006 MOU from Riverside County Transportation Commission (RCTC) and the San Bernardino Associated Governments (SANBAG), the FFY 2006 OA for these two regions was adjusted in September 2006 to reflect a loan of OA of \$16,000,000. The Loan decreased RCTC's FFY 2006 OA and increased SANBAG's FFY 2006 OA by the \$16,000,000. The August 1, 2006 memo states that the payback of the OA to RCTC from SANBAG is anticipated in FFY 2009 or FFY 2010.
- ⁸ The Rural Counties' subtotal above excludes those rural counties receiving CMAQ beginning in FFY 2006.
- ⁹ Rural's Actual Base FFY 2008 OA adjusted for RSTP Exchange to cancel potential carryover OA into FFY 2009. Rurals in this reporting row (those that do not receive CMAQ) exchange all their apportionments (RSTP Flexible), therefore, there should not be any carryover OA into the next FFY.
- ¹⁰ Rail information is included here for informational purposes. Beginning with the October 31, 2007 Delivery Report -- Rail Obligations/Deobligations and OA will no longer be included in Local Assistance Amounts. However, necessary to show Rail's information for continuity from FFY 2007 September 30, 2007 Delivery Report. Rail FFY 2008 carry-over and payback OA going to Capital for FFY 2008. This does not impact Projected FFY 2008 OA for the Regions or Programs, only Local Assistance Total OA. Per agreement Rail will participate in the FFY 2008 payback of \$50,000,000 to Local Assistance (see footnote 3).
- ¹¹ Based on FFY 2007 September 30, 2007 year-end reconciliation with FRO, this amount is an adjustment to OA that will be made in FFY 2008. The \$899,806 equals the \$800,993.51 OA adjustment plus the \$98,812 carry-over OA (see September 30, 2007 Delivery report footnotes 11 and 12). These adjustments increase OA to the Locals in FFY 2008.
- ¹² Memorandum of Understanding between SACOG and RCTC -- \$6,256,967 of OA from RCTC to SACOG for FFY 2007-08; repayment of \$6,256,967 of OA from SACOG to Riverside in FFY 2010-11.
- ¹³ Memorandum of Understanding between ACTC; CCOG; and TCTC -- \$363,749 of OA from CCOG to ACTC for FFY 2007-08 and \$503,170 of OA from TCTC to ACTC for FFY 2007-08; repayment and future loans in FFYs 2009; 2010; 2011; and 2012.

[SRTS is the federally funded State Routes to School Program enacted with SAFETEA-LU.]